

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 5

Complete if Known

Application Number 09/964,985
 Filing Date September 26, 2001
 First Named Inventor Andrew Ferlitsch
 Art Unit 2625
 Examiner Name Benjamin O. Dulaney
 Attorney Docket Number SLA1004

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-6965958	11-2005	Sugiyama, Hideki	
		US-6348972	02-2002	Taniguchi et al	
		US-6348971	02-2002	Owa et al	
		US-6570669	05-2003	Onuma, Nobuo	
		US-5547178	02-23-1995	Costello	
		US-20020138564	09-26-2002	Treptow et al	
		US-6452692	08-09-2000	Yacoub, Yousef R.	
		US-20020060801	01-26-2001	Motomed et al	
		US-20020101600	01-29-2001	Sabbagh et al	
		US-20020101604	08-2002	MIMA et al	
		US-5699495	12-1997	Snipp, David B.	
		US-6552813	04-2003	Yacoub, Yousef R.	
		US-6584503	06-2003	Carney et al	
		US-20040105122	06-2004	Schaeffer, Richard J.	
		US-6397245	05-2002	Johnson et al	
		US-20040042042	03-2004	Utsunomiya, Yakehito	
		US-6697165	02-2004	Wakai et al	
		US-20060155825	07-2006	Toril, Minoru	
		US-6757071	06-2004	Goodman et al	
		US-6476927	11-2002	Schwarz, Jr., James K.	
		US-5467434	11-1995	Hower et al	
		US-5918988	07-1999	H A.M. Van Oijen, Adrianus	
		US-7239411	07-2007	Kelsey, Todd Mark	
		US-20020113989	08-2002	Ferlitsch et al	
		US-20030038964	02-2003	Ferlitsch, Andrew Rodney	
		US-6580521	06-2003	Nishikawa et al	
		US-20020059489	05-2002	Davis et al	
		US-6816270	11-2004	Cooper et al	
		US-6320667	11-2001	Mitsuhashi, Shunya	
		US-20030184782	10-2003	Perkins et al	
		US-20020112037	08-15-2002	Koss	
		US-6056063	04-26-2000	Yang et al	
		US-6173295	01-01-2001	Goertz et al	
		US-6700678	03-2004	Luman, David J.	
		US-6618167	09-2003	Shah, Dinesh S.	
		US-6956664	10-2005	Kutty, Nanda	
		US-7155669	12-2006	Nakagiri et al	
		US-6118546	09-2000	Sanchez et al	

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ² Applicant's unique citation designation number (optional). ³ See Kinda Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.
 This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /BD/

Substitute for form 1449A/PTO

(Use as many sheets as necessary)

Sheet	2	of	5
-------	---	----	---

Complete if Known

Application Number	09/964,985
Filing Date	September 26, 2001
First Named Inventor	Andrew Ferlitsch
Art Unit	2625
Examiner Name	Benjamin O. Dulane
Attorney Docket Number	SLA1004

U.S. PATENT DOCUMENTS

[illegible]

Examiner
Signature

Date
Considered

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). ¹ See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.4. ² Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the date of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵ Applicant is to place a check mark here if English language Translation is attached.

Transmittal is advised:
 Informational information is required by 37 CFR 1.97 and 39. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO) protect an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting this completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /BD/

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

3

of

5

1

Attorney Docket Number

Complete if Known

Application Number

09/964.985

Filing Date

September 26, 2001

First Named Inventor

Andrew Ferlitsch

Art Unit

2625

Examiner Name _____

Benjamin O. Dulanev

Attorney Docket Number

SLA1004

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

Date
Considered

EXAMINER: Include if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). * See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. * Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). * For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. * Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. * Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /BD/

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 4 of 5

Complete if Known

Application Number	09/964,985
Filing Date	September 26, 2001
First Named Inventor	Andrew Ferlitsch
Art Unit	2625
Examiner Name	Benjamin O. Dulaney
Attorney Docket Number	SLA1004

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		U.S. Patent App. No. 09/681,208 - Office Action dated July 19, 2007	
		U.S. Patent App. No. 09/681,208 - Office Action dated January 08, 2007	
		U.S. Patent App. No. 09/681,208 - Office Action dated July 28, 2006	
		U.S. Patent App. No. 09/681,208 - Office Action dated January 12, 2006	
		U.S. Patent App. No. 09/681,208 - Office Action dated May 04, 2005	
		U.S. Patent App. No. 09/681,208 - Office Action dated October 22, 2004	
		U.S. Patent App. No. 09/681,416 - Office Action dated August 11, 2005	
		U.S. Patent App. No. 09/681,416 - Office Action dated November 16, 2004	
		U.S. Patent App. No. 09/681,409 - Office Action dated August 11, 2006	
		U.S. Patent App. No. 09/681,409 - Office Action dated January 25, 2006	
		U.S. Patent App. No. 09/681,409 - Office Action dated July 29, 2005	
		U.S. Patent App. No. 09/681,409 - Office Action dated November 17, 2004	
		U.S. Patent App. No. 09/683,667 - Office Action dated April 15, 2006	
		U.S. Patent App. No. 09/683,667 - Office Action dated October 29, 2007	
		U.S. Patent App. No. 09/683,667 - Office Action dated May 07, 2007	
		U.S. Patent App. No. 09/683,667 - Office Action dated November 17, 2006	
		U.S. Patent App. No. 09/683,667 - Office Action dated June 01, 2006	
		U.S. Patent App. No. 09/683,667 - Office Action dated December 13, 2005	
		U.S. Patent App. No. 09/683,667 - Office Action dated June 22, 2005	
		U.S. Patent App. No. 10/404,789 - Office Action dated May 21, 2008	
		U.S. Patent App. No. 10/404,789 - Office Action dated November 28, 2007	
		U.S. Patent App. No. 10/404,789 - Office Action dated July 11, 2007	
		U.S. Patent App. No. 10/404,789 - Office Action dated February 06, 2007	
		U.S. Patent App. No. 10/404,789 - Office Action dated August 23, 2006	
		U.S. Patent App. No. 10/404,789 - Office Action dated March 15, 2006	
		U.S. Patent App. No. 10/404,789 - Office Action dated July 27, 2005	
		U.S. Patent App. No. 10/003,800 - Office Action dated April 20, 2006	
		U.S. Patent App. No. 10/003,800 - Office Action dated December 13, 2005	
		U.S. Patent App. No. 10/003,800 - Office Action dated June 15, 2005	
		U.S. Patent App. No. 09/683,162 - Office Action dated April 16, 2006	
		U.S. Patent App. No. 09/683,162 - Office Action dated October 29, 2007	
		U.S. Patent App. No. 09/683,162 - Office Action dated May 17, 2007	
		U.S. Patent App. No. 09/683,162 - Office Action dated November 27, 2006	
		U.S. Patent App. No. 09/683,162 - Office Action dated January 10, 2006	
		U.S. Patent App. No. 09/683,162 - Office Action dated June 22, 2005	

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.96. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-8199 and select option 2.
ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /BD/

Application Number	09/964,985
Filing Date	September 26, 2001
First Named Inventor	Andrew Ferlitsch
Art Unit	2625
Examiner Name	Benjamin O. Dulaney
Attorney Docket Number	SLA1004

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /BD/